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Secretary: Bonnie Tang

#### Professional qualifications

Call: 2006 (HK); 1998 (New York)

#### Appointments and public office

Judge, Regulatory Tribunal, Qatar Financial Centre, Doha, Qatar  
Justice of the Peace, Hong Kong  
Member, Financial Services Development Council (FSDC), Hong Kong  
Convener, Policy Research Committee, FSDC, Hong Kong  
Member, Urban Renewal Authority, Hong Kong  
Chairperson, Committee on Financial and Securities Law, Bar Association, Hong Kong  
Honorary Fellow, Asian Institute of International Financial Law (AIIFL), University of Hong Kong  
Senior Fellow, Centre for Financial Regulation and Economic Development (CFRED), The Chinese University of Hong Kong

#### Education

1994: BA (cum laude), Yale University  
1997: JD (cum laude), Harvard Law School

#### Practice profile

Laurence Li's practice focuses on finance, and encompasses banking, commercial, company, and securities law. He has advised financial institutions, accounting firms, valuers, investors, the Government, listed companies, their directors, regulators, as well as shareholders in a full range of compliance and contentious matters. For such cases Mr. Li appears before the civil and criminal courts as well as the specialist tribunals (the Market Misconduct Tribunal, the Securities and Futures Appeals Tribunal, the Takeovers Panel, and the Listing Committee of the Stock Exchange).

Mr. Li is a fluent Putonghua speaker and also often acts in Mainland-related disputes.

Before being called to the Hong Kong Bar, Laurence Li worked at the Securities and Futures Commission (SFC) for 6 years from 1999 to 2005. He was the draftsman of parts of the Securities and Futures Bill (now the Securities and Futures Ordinance (Cap. 571)). He later served as the Commission Secretary and then as a Director of Corporate Finance responsible for listed company matters.

Prior to joining the SFC, Mr. Li practiced US corporate tax law at the New York head office of Paul, Weiss, Rifkind, Wharton & Garrison.

#### Selected cases

##### Securities Regulatory Cases

*Moody's v SFC* (CACV 103/2016, 8 June 2017) (SFAT 4/2014, 31 March 2016)  
*Re Television Broadcasts Ltd* (HCAL 250/2017) (Takeovers Panel Decision 10 May 2017)  
*SFC v C & Ors* (HCMP 727/2008, 4 May 2017)  
*Tang Hanbo v SFC* (HCAL 229/2016, 2 May 2017)  
*SFC v Yiu Hoi Ying Charles & Ors* (CACV 154/2016, 26 April 2017)  
*Re CITIC* (MMT Report 10 April 2017)  
*Re Yorkey Optical* (MMT Report 27 February 2017)  
*Andrew Left v SFC & Anr* (HCMP 3195/2016, 13 January 2017) (MMT Report 26 August 2016)  
*Re Greencool* (MMT Report 29 December 2016)  
*Re AcrossAsia* (MMT Report 29 November 2016)



*Re Alibaba Health* (Takeovers Panel Decision 17 May 2016)  
*SFC v Young Bik Fung & Ors* [2016] 1 HKLRD 1249  
*Re Asia TeleMedia* (MMT Report 26 November 2015)  
*Pacific Sun v SFC* (2015) 18 HKCFAR 138  
*Sun Xiao v SFC* (SFAT 3/2014, 22 May 2015)  
*SFC v China Metal Recycling & Ors* (HCCW 210/2013, 12 November 2014)  
*A, B & C v SFC* [2015] 1 HKLRD 47  
*Chan Pik Ha Jenny v SFC* (SFAT 8/2013, 9 June 2014)  
*SFC v Qunxing Paper* (HCA 2428/2013, 9 June 2014, 4 April 2014, 20 December 2013)  
*SFC v Ernst & Young* [2015] 5 HKLRD 293  
*HKSAR v Li Jianlin* [2014] 2 HKLRD 538 (CA)  
*Sun Hung Kai International v SFC* (SFAT 3/2013, 27 January 2014)  
*X v SFC* [2012] 4 HKLRD 296  
*Leung Chi Keung v MMT* [2012] 2 HKLRD 786 (CA)  
*Tsien Pak Cheong David v SFC* [2011] 3 HKLRD 533 (CA)  
*Re PCCW Ltd* [2009] 3 HKC 292 (CA)

#### Financial Services and Company Disputes

*Fast Track v BOCI Securities* (HCA 2480/2016, 2 December 2016, 12 October 2016)  
*Lim Yi Shenn v Wong Yuen Yee & Ors* (CACV 55/2015, 7 September 2016)  
*Tin Lik v Deutsche Bank & Ors* (HCCL 17/2011, 15 February 2016)  
*Li Kwok Heem v Standard Chartered* [2016] 1 HKC 535  
*Cheer Signal v Wong Siu Fan & Ors* (HCA 780/2015, 13 January 2016, 26 October 2015)  
*Giant Crystal v Energy International* (HCMP 1903/2015, 31 August 2015)  
*Veron International v RCG* (HCMP 3210/2013, 20 July 2015)  
*Perfect Direct v Dejin Resources* (HCCW 76/2014, 30 November 2015, 19 June 2015)  
*Jin Wei Cheng v UBS* (HCA 632/2012, 30 December 2014)  
*Re LDK Solar Co Ltd* [2015] 1 HKLRD 458  
*World One Investments v Chow Cheuk Lap & Ors* [2013] 3 HKLRD 701  
*Veron International v RCG* [2013] 3 HKLRD 657 (CA)  
*HSBC Institutional Trust Services v Tin Lik & Anr* [2012] 1 HKLRD 702 (CA)  
*Natamon Protpakorn v Citibank* [2009] 1 HKLRD 455 (CA)  
*Re Shun Tak Holdings* [2009] 5 HKLRD 743  
*Formosa Taffeta v Banque Indosuez* [2009] 1 HKLRD 568

#### Other Commercial Disputes

*China Construction Bank v Shanghai Pudong Development Bank* (CACV 14/2016, 26 May 2017, 3 February 2017) (HCA 1323/2014, 11 Dec 2015)  
*Shenzhen Sea Star v Perception Digital & Anr* (HCA 2208/2013, 16 February 2015)  
*Desarrollo v Kader* [2014] 1 HKLRD 419  
*Lin San Jih & Ors v Chai Zhen Zhong & Ors* [2012] 5 HKLRD 477 (CA)  
*Pang Wai Hak & Anr v Hua Yunjian & Anr* [2012] 4 HKLRD 113  
*Gao Haiyan & Anr v Keeneye Holdings & Anr* (No. 2) [2012] 1 HKC 491 (CA)  
*Gao Haiyan & Anr v Keeneye Holdings & Anr* [2012] 1 HKLRD 627 (CA)

#### **Publications**

*Securities and Futures Ordinance: Commentary and Annotations*, 1<sup>st</sup> ed., Sweet & Maxwell 2015 (General Editor)  
*Securities and Futures Ordinance: Commentary and Annotations*, 2<sup>nd</sup> ed., Sweet & Maxwell 2016 (General Editor)  
*Financial Markets: Law and Practice*, Oxford University Press 2006 (Consulting Editor)